

# Foundations of public key cryptography

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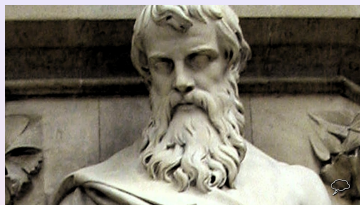
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Euclid (εὐκλείδης), Alexandria, Egypt ( $\approx 300$  BC)

Division algorithm:

$$\forall a, b \in \mathbf{Z}, b > 0 \quad \exists! q, r \in \mathbf{Z} \quad a = qb + r, \quad 0 \leq r < b.$$

Let  $r = \min \{a - kb \geq 0 : k \in \mathbf{Z}\} = a - qb$ .

$$a - (q+1)b = a - qb - b = r - b \Rightarrow r < b.$$



Claude-Gaspard Bachet de Méziriac, Savouè (1581–1638)

Étienne Bézout, France (1730–1783)

## Bézout's identity

$$\forall a, b \in \mathbf{N} \quad \exists s, t \in \mathbf{Z} \quad \gcd(a, b) = sa + tb.$$

Let  $d = \min \{sa + tb > 0 : s, t \in \mathbf{Z}\}$ .

Any common divisor of  $a, b$  divides  $d$ .

Division algorithm  $\Rightarrow d$  is a common divisor of  $a, b$ .

(if  $a = qd + r, r = a - q(sa + tb) = (1 - qs)a - qtb$ , and since  $r < d, r = 0$ )

## Euclid's algorithm

$$\gcd(35, 74) = 1$$

long-divide, replace with remainder and divide the other way until you get clean division

$$74 = 2 \cdot 35 + 4$$

$$35 = 8 \cdot 4 + 3$$

$$4 = 3 + 1$$

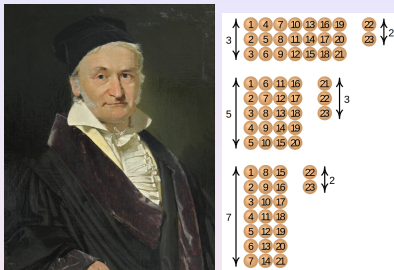
... extended

solve for remainders, substitute and collect terms

$$4 = 74 - 2 \cdot 35$$

$$3 = 35 - 8 \cdot 4$$

$$1 = 4 - 3 = 4 - (35 - 8 \cdot 4) = 9 \cdot 4 - 35 = 9(74 - 2 \cdot 35) - 35 = 9 \cdot 74 - 19 \cdot 35$$



Carl Friedrich Gauss (Princeps Mathematicorum), Braunschweig (1777-1855)

## Modular arithmetic

Pick  $m \in \mathbf{Z}$ ,  $m > 1$  (modulus).

For  $i, j \in \mathbf{Z}$  define congruence  $i \equiv j \pmod{m} \Leftrightarrow i - j \in m\mathbf{Z}$ .

Congruence is an equivalence relation. Congruence classes (cosets of  $m\mathbf{Z}$ ) partition  $\mathbf{Z}$  and form the factor ring  $\mathbf{Z}_m = \mathbf{Z}/m\mathbf{Z}$ .

## Example

$$\mathbf{Z}_3 = \{[0]_3, [1]_3, [2]_3\}$$

$$[0]_3 = 3\mathbf{Z} = \{\dots - 3, 0, 3, 6, 9\dots\}$$

$$[1]_3 = 1 + 3\mathbf{Z} = \{\dots - 2, 1, 4, 7, 10\dots\}$$

$$[2]_3 = 2 + 3\mathbf{Z} = \{\dots - 1, 2, 5, 8, 11\dots\}$$

## Units

By Bézout's identity  $[k]_m \in \mathbf{Z}_m$  is a unit (has a multiplicative inverse)

$$\Leftrightarrow \gcd(k, m) = 1 \Leftrightarrow \mathbf{Z}_m = \langle k \rangle.$$

The multiplicative group of all units in  $\mathbf{Z}_m$  is denoted  $U(m)$ .

## Modular power algorithm

expand the exponent in binary, split up the task, reduce mod  $m$  at each step

$$25^{11} = 25^{1+2+8} = 25 \cdot 25^2 \cdot 25^8$$



Sun Tzu (孫武), Zhou (544 BC – 496 BC)

$$\text{If } \gcd(m, n) = 1, \quad \mathbf{Z}_m \times \mathbf{Z}_n \cong \mathbf{Z}_{mn}.$$

(generalizes to finite products of ring with pairwise co-prime moduli)

Define additive homomorphism  $\psi: \mathbf{Z}_{mn} \rightarrow \mathbf{Z}_m \times \mathbf{Z}_n$  by  $\psi([k]_{m,n}) = ([k]_m, [k]_n)$ .

If  $\psi([k]_{mn}) = 0$ ,  $k$  is a common multiple of  $m$  and  $n$ , so  $\text{lcm}(m, n)$  divides  $k$ .

Recall  $\gcd(m, n) \cdot \text{lcm}(m, n) = mn$ . If  $\gcd(m, n) = 1$ ,  $\text{lcm}(m, n) = mn$ .

Thus  $k = [0]_{mn}$ , so  $\ker \psi$  is trivial, so  $\psi$  is one-to-one.

Since the sizes of domain and target are both  $mn$ ,  $\psi$  is also onto.

## Explicit formula (compositional inverse of $\psi$ )

Suppose  $x \equiv b_i \pmod{m_i}$ , where  $m_i (1 \leq i \leq n)$  are pairwise co-prime moduli.

$$x = \sum_{i=1}^n M_i (M_i^{-1} \pmod{m_i}) b_i \pmod{m}$$

where  $m = \prod_{i=1}^n m_i$  and  $M_i = \frac{m}{m_i} = \prod_{j \neq i} m_j$        $(\psi(x) = [b_1, \dots, b_n])$

$$\begin{aligned} x &\equiv 3 \pmod{5} \\ x &\equiv 4 \pmod{7} \\ x &\equiv 5 \pmod{11} \end{aligned}$$

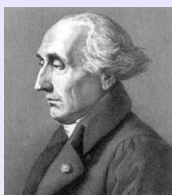
$$m = 5 \cdot 7 \cdot 11 = 385$$

$i$	$m_i$	$M_i = \frac{m}{m_i}$	$y_i = M_i^{-1} \pmod{m_i}$	$a_i$	$M_i y_i a_i$
1	5	$77 \equiv 2 \pmod{5}$	3 $(3 \cdot 2 = 5 + 1)$	3	$693 \equiv 308 \pmod{385}$
2	7	$55 \equiv 6 \pmod{7}$	6 $(6 \cdot 6 = 5 \cdot 7 + 1)$	4	$1320 \equiv 165 \pmod{385}$
3	11	$35 \equiv 2 \pmod{11}$	6 $(6 \cdot 2 = 11 + 1)$	5	$1050 \equiv 280 \pmod{385}$

$$\equiv 60 \pmod{385}$$

Sum:  $368 \pmod{385}$





Pierre de Fermat, Toulouse, France (1607–1665)

Leonhard Euler, Basel (1707–1783)

Joseph-Louis (Giuseppe-Luigi) Lagrange, Piemonte (1736–1813)

Euler's totient  $\varphi(m) = |U(m)| = |\{0 < k < m: \gcd(k, m) = 1\}|$

If  $p$  is prime,  $\varphi(p^k) = p^k - p^{k-1}$ . (Eliminate powers of  $p^j$  for  $j < k$ )

If  $\gcd(m, n) = 1$ ,  $U(mn) = U(m) \times U(n)$ , so  $\varphi(mn) = \varphi(m)\varphi(n)$ .

$([k]_m, [k]_n) \in \mathbf{Z}_m \times \mathbf{Z}_n$  is a unit  $\Leftrightarrow$  both  $[k]_m$  and  $[k]_n$  are units.

If  $\gcd(m, n) = 1$ ,  $\psi$  is an isomorphism, so preserves units.

E.g.  $\varphi(12) = \varphi(4 \cdot 3) = \varphi(4)\varphi(3) = (4 - 2)(3 - 1) = 4$ ,  $U(12) = \{1, 5, 7, 11\}$

## Lagrange's theorem

Given a finite group  $G$  and  $H < G$ , define an equivalence  $x \sim y \Leftrightarrow xy^{-1} \in H$ .  
Equivalence classes (cosets  $xH$  of  $H$ ) partition  $G$ .

Since  $|xH| = |H|$ ,  $|G| = |H| \cdot [G : H]$ , where  $[G : H] = \#(\text{cosets})$ .

In particular, if  $x \in G$ ,  $|x| = |\langle x \rangle|$  divides  $|G|$ , so  $x^{|G|} = e_G$ .

Euler's theorem: if  $k \in U(m)$ ,  $k^{\varphi(m)} \equiv 1 \pmod{m}$ .

Fermat's little theorem: if  $k \in \mathbf{Z}_p$ ,  $k \neq 0$ ,  $k^{p-1} \equiv 1 \pmod{m}$ .

(so if  $k \in \mathbf{Z}_p$ ,  $k^p \equiv k \pmod{m}$ )



1973 Clifford Cocks

1977 RSA: Ronald Rivest, Adi Shamir, Leonard Adleman

## Key pair generation

Pick two large primes  $p \neq q$  and let  $n = pq$ . Then  $\varphi(n) = (p - 1)(q - 1)$ .

Pick  $e$  co-prime to  $\varphi(n)$  (so  $e$  is a unit).

Use extended Euclid's algorithm to find its inverse  $d \in U(n)$ .

Public key:  $[n, e]$       Secret Key:  $d$

Encoding of message  $m$ :  $c \equiv m^e \pmod n$

Decoding:  $m \equiv c^d \pmod n$

Euler's theorem  $\Rightarrow (m^e)^d = m^{ed} = m^{1+k\varphi(n)} = m \cdot (m^\varphi)^k = m \pmod n$

## Key pair generation

```
(%i6) /- pick 2 distinct primes -/  
p:2^1279-1;  
q:2^2203-1;  
n:p*q;  
  
/- pick encoding key to publish along with n -/  
e:1234786123;  
/- check that e is a unit -/  
gcd(e,(p-1)*(q-1));  
  
/- secret decoding key -/  
d:inv_mod(e,(p-1)*(q-1));  
  
(p) 104079321946643990819252403273[326 digits]186900714720710555703168729087  
(q) 147597991521418023508489862273[604 digits]809865681250419497686697771007  
(n) 153618988782356965746670001051[989 digits]052888831678087029867946180609  
(e) 1234786123  
(%o5) 1  
(d) 280178879675717298364586053315[988 digits]649032687971255315973416277747
```

## Encoding

```
(%i8) /- message -/  
m:404;  
  
/- coded c=m^e mod n -/  
c:power_mod(m,e,n);  
  
(m) 404  
(c) 501713064691106274378556837138[988 digits]865760982252638435485589364626
```

## Decoding

```
(%i9) power_mod(c,d,n);  
(%o9) 404
```